

185 International Drive Portsmouth, New Hampshire 03801 (800) 225.1560

December 17, 2014

Executive Director NHPUC 21 South Fruit St, Suite 10 Concord, NH 03301

Re: Sprague Energy Solutions Inc. RI Puc 2006.02 Form for Initial Registration of Aggregators

Please find enclosed one (1) original and two (two) copies and a CD copy of the Application along with the \$250 filing fee. The application includes an index to the attachments.

If the Commission has any questions on our application or needs further information. Please contact me at 603-430-5302.

Sincerely,

Katherine Battles

Senior Corporate Counsel

Puc 2006.02 Form for Initial and Renewal Registration of Aggregators.

- (a) The registration application required by Puc 2003.04(a) and Puc 2003.05(b) shall include the following:
- (1) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state; Sprague Energy Solutions Inc.
- (2) The applicant's business address, telephone number, e-mail address and website address, as applicable; 185 International Drive Portsmouth, NH 03801
- (3) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s), if the applicant is anything other than an individual:

Mark Roberts, Managing Director 185 International Drive Portsmouth, NH 03801 603-431-1000 mroberts@spragueenergy.com

(4) The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available

Kathy Baker, Supervisor, Customer Care

185 International Drive Portsmouth, NH 03801

Telephone: 1-855-466-2842

Fax: 1-603-430-5324

E-Mail: power@spragueenergy.com

- (5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual; (see Exhibit A)
- (6) Description of the geographic areas of New Hampshire in which the applicant intends to provide service, consistent with Puc 2006.01(a)(10) above; 20 Puc 2000 All of New Hampshire

NEW HAMPSHIRE CODE OF ADMINISTRATIVE RULES

- (7) A statement that the applicant is not representing any supplier interest or a listing of any supplier interest the applicant intends to represent; and Not Applicable
- (8) Except as provided in 2003.04(e), payment of the required filing fee; and (see Exhibit B)
- (9) The signature of the applicant or its representative.

Date of Application: $12-17-2014$
Legal Name of Applicant: Sprague Energy Solutions Inc.
Signature of individual: Back
Printed Name: Katherine Battles
Title: Sr. Corporate Counsel

By submitting this application to the Commission, the Applicant verifies that all foregoing information included is true and correct.

Sprague Energy Solutions, Inc.

Application to New Hampshire Public Utilities Commission Puc 2006.02 Form for Initial and Renewal Registration of Aggregators

ATTACHMENTS

- 1. Exhibit A: Question 5, Authorization to do Business in New Hampshire From the Secretary of State
- 2. Exhibit B: Question 7, Payment of the Required Filing

Exhibit A: "Authorization to do Business"

Filed
Date Filed: 10/11/2011
Business ID: 660300
William M. Gardner
Secretary of State

State of New Hampshire

Filing fee: \$50.00 Fee for Form SRA: \$50.00

Form 40 RSA 293-A:15.03

Total fees \$100.00 Use black print or type.

Form must be single-sided on 8%" x 11"paper; double sided copies will not be accepted.

APPLICATION FOR CERTIFICATE OF AUTHORITY FOR PROFIT FOREIGN CORPORATION

TO THE SECRETARY OF STATE OF THE STATE OF NEW HAMPSHIRE

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE BUSINESS CORPORATION ACT, THE UNDERSIGNED CORPORATION HEREBY APPLIES FOR A CERTIFICATE OF AUTHORITY TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

TO TRANSACT BUSINESS IN NEW HAN FOLLOWING STATEMENT:	MPSHIRE AND FOR THAT PURPOSE SUBMITS THE	
EVECT. The second of the secon		
FIRST: The name of the corporation is		
Sprague Energy Solutions Inc.		
SECOND: The name which it elects to use	in New Hampshire is	
THIRD: It is incorporated under the laws of	F Delaware	
Titles. It is most pointed under the title of	*	
FOURTH: The date of its incorporation is 0	07/07/2011 and	
the period of its duration is Perpetual		
	4 4	
	p code and post office box, if any) of its principal office is	
2 International Drive, Suite 200, Portsmouth, NF	I 03801	
SIXTH: The name of its registered agent <u>IN</u>	NEW HAMPSHIRE is	
C T Corporation System	and the complete address (including zip code	
and post office box, if any) of its registered of Capitol Street, Concord, N.H. 03301	office IN NEW HAMPSHIRE is (agent's business address)	
SEVENTH: The sale or offer for sale of any requirements of the New Hampshire Uniform	ownership interests in this business will comply with the a Securities Act (RSA 421-B).	
EIGHTH: The principal purpose or purposes	s which it proposes to pursue in the transaction of business in	
New Hampshire are		
BEATTACHWENT	State of New Hampshire	
	Form 40 - Application for Certificate of Authority 7 Page(s)	



NINTH: The names and usual business addresses of its current officers and directors are: (If there are additional officers or directors, attach additional sheet OR if the laws of the state of incorporation do not require directors, indicate below.)

7	•	
Name	<u>Title</u>	Address
<u>OFFICERS</u>		
Steven J Levy	President	2 International Drive, Suite 200
		Portsmouth, NH 03801
Paul A Scoff	Vice President, Secretary	2 International Drive, Suite 200
	•	Portsmouth, NH 03801
Kevin G. Henry	Treasurer	2 International Drive, Suite 200
SEE ATTACHMENT		Portsmouth, NH 03801
DIRECTORS		
Burton S. Russell	Director	2 International Drive, Suite 200
	•	Portsmouth, NH 03801
Thomas F Flaherty	Director	2 International Drive, Suite 200
	,	Portsmouth, NH 03801
Steven D Scammon	Director	2 International Drive, Suite 200
SEB ATTACHMENT	1	Portsmouth, NH 03801
	By / M	501/
	Signature of its Vice Presiden	nt //
	Paul A. Scoff	
	Print or type name	1 44
	Date signed: 9129	12011

DISCLAIMER: All documents filed with the Corporate Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, <u>DATED & SIGNED ORIGINAL</u>, <u>ORIGINAL CERTIFICATE OF LEGAL EXISTENCE OR GOOD STANDING ISSUED BY THE STATE OR COUNTRY OF INCORPORATION AND FORM SRA to: Corporate Division, Department of State, 107 North Main Street, Concord, NH 03301-4989.</u>

Attachment to New Hampshire Purpose Clause

reseller of petroleum products and natural gas to end users Notwithstanding the foregoing, the purpose of the corporation is to engage in any lawful act or activity for which corporations may be organized to do business under the laws of its jurisdiction of NH.

Officers & Directors

Full Name:

Kevin J Grant

Officer/Director:

Officer

Officer's Title:

Managing Director

Business Address:

2 International Drive, Suite 200

City:

Portsmouth

State:

NH

ZIP Code:

....

ZII Couc.

03801 Burr J Mosher III

Full Name:

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Officer/Director:

Officer

Officer's Title:

Managing Director

Business Address:

2 International Drive, Suite 200

City:

Portsmouth

State:

NH

ZIP Code:

03801

Full Name:

Barry V Panicola

Officer/Director:

Officer

Officer's Title:

Managing Director

Business Address:

2 International Drive, Suite 200

City:

Portsmouth

State:

NH

ZIP Code:

03801

Full Name:

Mark A Roberts

Officer/Director:

Officer

Officer's Title:

Managing Director

Business Address:

2 International Drive, Suite 200

City:

Portsmouth

State:

NH

ZIP Code:

03801

Full Name:

Katherine K Battles

Officer/Director:

Officer's Title:

Business Address:

City:

State:

ZIP Code:

Full Name:

Officer/Director:

Officer's Title:

Business Address:

City:

State:

ZIP Code:

Full Name:

Officer/Director:

Officer's Title:

Business Address:

City:

State:

ZIP Code:

Officer

Assistant Secretary

2 International Drive, Suite 200

Portsmouth

NH

03801

Joseph S Smith

Director

2 International Drive, Suite 200

Portsmouth

NH

03801

Brian W Weego

Director

2 International Drive, Suite 200

Portsmouth

NH

03801



October 6, 2011

Secretary of State State of New Hampshire 107 N Main St. Concord, NH 03301-4989

RE: Sprague Energy Solutions Inc.

To whom it may concern:

Please be advised that Sprague Energy Corp. has no objections to the registration and name of corporation of Sprague Energy Solutions Inc.

Sprague Energy Corp.

Paul A. Scoff

Vice President, General Counsel

& Secretary

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF

DELAWARE, DO HEREBY CERTIFY "SPRAGUE ENERGY SOLUTIONS INC." IS

DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS

IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS

THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-NINTH DAY OF

SEPTEMBER, A.D. 2011.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES
HAVE NOT BEEN ASSESSED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "SPRAGUE ENERGY SOLUTIONS INC." WAS INCORPORATED ON THE SEVENTH DAY OF JULY, A.D. 2011.

5007282 8300

111055876

Jeffrey W. Bullock, Secretary of State

AUTHENTY CATION: 9063072

DATE: 09-29-11

You may verify this certificate online at corp.delaware.gov/authver.shtml

Form SRA – Addendum to Business Organization and Registration Forms Statement of Compliance with New Hampshire Securities Laws

Part I – Business Identification and Contact Information				
Business Name: Sprague Energy Solutions Inc.				
Business Address (include city, state, zip): 2 International D	rive, Suite 200, Portsmouth, NH 03801			
Telephone Number: (603)430-5333 E-ma	il: pscoff@spragueenergy.com			
Contact Person: Paul Scoff				
Contact Person Address (if different):				
Part II - Check <u>ONE</u> of the following items in Part II. If n [PLEASE NOTE: Most small businesses registering in New However, you must insure that your business meets all of the	Hampshire qualify for the exemption in Part II, Item 1 below.			
because the business meets <u>ALL</u> of the following to A) This business has 10 or fewer owners; and B) Advertising relating to the sale of ownersh	· · · · · · · · · · · · · · · · · · ·			
	This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed -			
This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation -				
4. This business was formed in a state other than Nev Hampshire.	This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.			
Part III – Check <u>ONE</u> of the following items in Part III:				
1. This business is not being formed in New Hampsh	This business is not being formed in New Hampshire.			
	nd the registration document states that any sale or offer for ply with the requirements of the New Hampshire Uniform			
Part IV – Certification of Accuracy				
(NOTE: The information in Part IV must be certified by: 1) all executive officer of an existing corporation; or 3) all of the general partnership; or 4) one or more authorized members or manager partners of a registered limited liability partnership or foreign	neral partners or intended general partners of a limited rs of a limited liability company; or 5) one or more authorized			
(We) certify that the information provided in this form is true	and complete. (Original signatures and			
Name (print): Paul A. Scoff	Signature: ////			
	Date signed: 9 29 2011			
Name (print):	Signature:			
	Date signed:			
Name (print):	Signature:			
	Date signed:			

EXHIBIT B: "FILING FEE"